

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> 6340-056/NP
<b>INTERNATIONAL APPLICATION NO.</b> PCT/JP03/13987		<b>U.S. APPLICATION NO. (if known, see 37 CFR 1.5)</b> 10/533,871
<b>INTERNATIONAL FILING DATE</b> 31 October 2003		<b>PRIORITY DATE CLAIMED</b> 6 November 2002
<b>TITLE OF INVENTION</b> CONTENT RECEPTION AND REPRODUCTION APPARATUS AND CONTENT RECEPTION AND REPRODUCTION METHOD		
<b>APPLICANT(S) FOR DO/EO/US</b> Masahiro Nagata et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☐ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☐ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ A corrected oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76. – Corrected and Annotated Application Data Sheets
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/533871</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP03/13987</b>	ATTORNEY'S DOCKET NUMBER <b>6340-000056/US/NP</b>	
20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mailing (EV 522 881 835 US) Supplemental Response to Notification of Missing Requirements Copy of first page of Published International Application WO 2004/045208 A1 Copy of Notification of Missing Requirements Return Receipt Postcard			
The following fees have been submitted 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... \$300		<b>CALCULATIONS</b> \$	<b>PTO USE ONLY</b>
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 All other situations ..... \$200		\$	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400 All other situations ..... \$500		\$	
<b>TOTAL OF 21, 22 and 23 =</b>		\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/50 =		x \$250.00
			\$
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =		x
Independent claims	- 3 =		x
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			
<b>SUBTOTAL =</b>		\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$	
<b>TOTAL NATIONAL FEE =</b>		\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$	
<b>TOTAL FEES ENCLOSED =</b>		\$	
		Amount to be refunded:	\$
		Amount to be charged	\$


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

W.R. Duke Taylor  
HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600

  
SIGNATURE

W.R. Duke Taylor  
NAME

31,306  
REGISTRATION NUMBER

Dated: November 22, 2005

Rec'd PCT/PTO 22 NOV 2005

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: Serial No. 10/533,871  
International  
Filing Date: October 31, 2003  
Applicants: Masahiro Nagata et al  
Title: Content Reception And Reproduction Apparatus And  
Content Reception And Reproduction Method  
Attorney Docket: 6340-056/NP

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Mail Stop PCT  
Director of The United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SUPPLEMENTAL RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371**  
**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

Sir:

In reviewing Applicants' Response to the Notification of Missing Requirements filed with the U. S. Patent Office as Designated/Elected Office on November 10, 2005, it was noted that the Declaration contained incomplete information in that the serial number of the International Application was not included.

Applicants further noted that the filing date of the International Application was incorrectly listed on the Transmittal Letter and Application Data Sheet submitted with the original national phase application papers.

Accordingly, enclosed herewith is a corrected Declaration indicating the International Application No. as PCT/JP2003/013987, filed October 31, 2003 and amended on May 5, 2005. Please replace the originally filed Declaration with this corrected document. A copy of the first page of the published International Application is enclosed for the Examiner's reference.

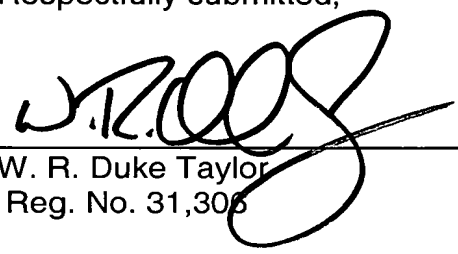
Also enclosed is a corrected Application Data Sheet and an Annotated Application Data Sheet showing the correct international filing date of October 31, 2003. Please correct the records of the U. S. Patent Office so this correct information is reflected on the Official Filing Receipt.

Applicants believe that no fees are due at this time as the late filing fee for the Declaration was paid on November 10, 2005, and this corrected Declaration is being filed prior to the expiration of the filing date as indicated in the Notification of Missing Requirements, mailed on September 26, 2005. However, if a fee is due, please charge our Deposit Account No. 08-0750, under Order No. 6340-056/NP from which the undersigned is authorized to draw. A duplicate copy of this document is enclosed.

Respectfully submitted,

Dated: November 22, 2005

By:

  
\_\_\_\_\_  
W. R. Duke Taylor  
Reg. No. 31,306

HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
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WRDT/smc

Application No. (if known): 10/533871

Attorney Docket No.: 6340-056/NP

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 522 881 835 US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on November 22, 2005  
Date



Signature

**W.R. Duke Taylor**

Typed or printed name of person signing Certificate

31,306  
Registration Number, if applicable

(248) 641-1600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1) Certificate of Express Mailing (EV 522 881 835 US)
- 2) Transmittal Letter to the United States Designated-Elected Office (3 pages, in duplicate)
- 3) Supplemental Response to Notification of Missing Requirements (2 pages, in duplicate)
- 4) Corrected Declaration and Power of Attorney (3 pages)
- 5) Corrected Application Data Sheet (3 pages)
- 6) Annotated Application Data Sheet (3 pages)
- 7) Cover Sheet of International Application WO 2004/045208 A1;
- 8) Copy of Notification of Missing Requirements
- 9) Return Receipt Postcard



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/533,871

FIRST NAMED APPLICANT

Masahiro Nagata

ATTY. DOCKET NO.

6340-056/NP

INTERNATIONAL APPLICATION NO.

PCT/JP03/13987

I.A. FILING DATE

~~11/06/2003~~

10/31/2003

PRIORITY DATE

11/06/2002

27572

HARNESSE, DICKEY &amp; PIERCE, P.L.C.

P.O. BOX 828

BLOOMFIELD HILLS, MI 48303

CONFIRMATION NO. 8017

371 FORMALITIES LETTER

\*OC000000017101370\*

\*OC000000017101370\*

Date Mailed: 09/26/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/05/2005
- English Translation of the IA filed on 05/05/2005
- Copy of the International Search Report filed on 05/05/2005
- Preliminary Amendments filed on 05/05/2005
- Information Disclosure Statements filed on 05/05/2005
- Small Entity Statement filed on 05/05/2005
- U.S. Basic National Fees filed on 05/05/2005
- Substitute Specification filed on 05/05/2005
- Priority Documents filed on 05/05/2005
- Specification filed on 05/05/2005
- Claims filed on 05/05/2005
- Abstracts filed on 05/05/2005
- Drawings filed on 05/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,

must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,871	PCT/JP03/13987	6340-056/NP

FORM PCT/DO/EO/905 (371 Formalities Notice)